

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission: 2010-03-18 15:58:08

2. Agency: 024

3. Bureau: 70

4. Name of this Investment: FEMA - Grants Management Integrated Environment (2011)

5. Unique Project (Investment) Identifier: 024-70-01-05-01-7058-00

6. What kind of investment will this be in FY 2011?: Planning

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

7. What was the first budget year this investment was submitted to OMB? *

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.

Congress established the Department of Homeland Security (DHS) in 2002 to consolidate the federal homeland security functions under a single cabinet-level organization. Legislation directed the Secretary of DHS to coordinate, disburse, and control DHS grant resources to state governments and first responders. Grant programs support the DHS strategic goal to strengthen our nation's preparedness and emergency response capabilities. Currently, DHS uses several different and outdated IT systems to administer grant programs. Continuing present practices in administering DHS grant programs is not cost effective or practical where some programs are still being managed manually. DHS seeks to develop a GMS that will provide the level of scalability and configurability needed to support all DHS grant programs including disaster and non-disaster programs. The re-use ability and web-enabled functionality will provide significant cost savings over the existing and outdated, individual grant management systems. Automated processes developed and operated to provide grant services will have its architecture developed under a common enterprise data and hardware configuration and employ consistent operational procedures, standards, and privacy safeguards. This project also includes operations and maintenance costs for the operational capability. GMS will provide DHS the capability to manage the entire grants lifecycle using a common system application. Life-cycle management will include program specific functions, grant programs financial reporting, environmental planning and historic preservation, Congressional processes, grantees and sub grantees, system administration, and common services. GMS will reduce costs, improve customer service and increase standardization of business processes and technologies across the DHS. GMS will use all applicable re-use working components from FEMA's current disaster and non-disaster systems so the development lifecycle will be more accelerated than new development therefore providing a significant cost savings. The common GMS will be used by all DHS user communities directly involved in managing grants and financial assistance programs providing greater accountability for scarce taxpayer's dollars. In addition, more effective mobilization of resources during a national emergency can be achieved if all grant data resides in a consolidated system.

- a. Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**

9. Did the Agency's Executive/Investment Committee approve this request? *

a. If "yes," what was the date of this approval? *

10. Contact information of Program/Project Manager?

- Name: *
- Phone Number: *
- Email: *

11. What project management qualifications does the Project Manager have? (per FAC-P/PM)? *

- Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):

| Financial management system name(s) | System acronym | Unique Project Identifier (UPI) number |
|-------------------------------------|----------------|--|
| * | * | * |

a. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one): *

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

| Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions) | | | | | | | | | |
|--|-----------------|---------|---------|---------|-----------|-----------|-----------|-----------------|-------|
| | PY1 and earlier | PY 2009 | CY 2010 | BY 2011 | BY+1 2012 | BY+2 2013 | BY+3 2014 | BY+4 and beyond | Total |
| Planning: | * | * | * | * | * | * | * | * | * |
| Acquisition: | * | * | * | * | * | * | * | * | * |
| Subtotal Planning & Acquisition: | * | * | * | * | * | * | * | * | * |
| Operations & Maintenance: | * | * | * | * | * | * | * | * | * |
| Disposition Costs (optional): | * | * | * | * | * | * | * | * | * |
| SUBTOTAL: | * | * | * | * | * | * | * | * | * |
| Government FTE Costs should not be included in the amounts provided above. | | | | | | | | | |
| Government FTE Costs | * | * | * | * | * | * | * | * | * |
| Number of FTE represented by Costs: | * | * | * | * | * | * | * | * | * |
| TOTAL(including FTE costs) | * | * | * | * | * | * | * | * | * |

2. If the summary of funding has changed from the FY 2010 President's Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table 1: Contracts/Task Orders Table

| Contract or Task Order Number | Type of Contract/Task Order (In accordance with FAR Part 16) | Has the contract been awarded (Y/N) | If so what is the date of the award? If not, what is the planned award date? | Start date of Contract/Task Order | End date of Contract/Task Order | Total Value of Contract/Task Order (M) | Is this an Interagency Acquisition? (Y/N) | Is it performance based? (Y/N) | Competitively awarded? (Y/N) | What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A) | Is EVM in the contract? (Y/N) |
|-------------------------------|--|-------------------------------------|--|-----------------------------------|---------------------------------|--|---|--------------------------------|------------------------------|--|-------------------------------|
| EADIS Work Order 25 | CPAF: Cost Plus Award Fee | Y | 2009-03-16 | 2009-03-16 | 2010-03-01 | \$0.9 | * | * | * | * | * |

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

*

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? *

a.If "yes," what is the date? *

Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

| Fiscal Year | Strategic Goal(s) Supported | Measurement Area | Measurement Grouping | Measurement Indicator | Baseline | Target | Actual Results |
|-------------|---|------------------|----------------------|--|---|---|----------------|
| 2010 | Build a Nimble, Effective Emergency Response System and a Culture of Preparedness | * | * | grantee satisfaction with the time and quality of the grants process. | establish a dhs-wide baseline of customer satisfaction using a 10-point scale. | customers will rate grants processes, establishing a baseline for future assessments. | tbd |
| 2011 | Build a Nimble, Effective Emergency Response System and a Culture of Preparedness | * | * | grantee satisfaction with the time and quality of the grants process. | baseline to be established and reported. | customers will rate grants processes 7.0 (average) on a scale of 10 | tbd |
| 2012 | Build a Nimble, Effective Emergency Response System and a Culture of Preparedness | * | * | grantee satisfaction with the time and quality of the grants process. | customers will rate grants processes 7.0 (average) on a scale of 10 | customers will rate grants processes 7.5 (average) on a scale of 10 | tbd |
| 2013 | Build a Nimble, Effective Emergency Response System and a Culture of Preparedness | * | * | grantee satisfaction with the time and quality of the grants process. | customers will rate grants processes 7.5 (average) on a scale of 10 | customers will rate grants processes 7.8 (average) on a scale of 10 | tbd |
| 2014 | Build a Nimble, Effective Emergency Response System and a Culture of Preparedness | * | * | grantee satisfaction with the time and quality of the grants process. | customers will rate grants processes 7.8 (average) on a scale of 10 | customers will rate grants processes 8.0 (average) on a scale of 10 | tbd |
| 2015 | Build a Nimble, Effective Emergency Response System and a Culture of Preparedness | * | * | grantee satisfaction with the time and quality of the grants process. | customers will rate grants processes 8.0 (average) on a scale of 10 | customers will continue to rate grants processes 8.0 (average) on a scale of 10 | tbd |
| 2010 | Build a Nimble, Effective Emergency Response System and a Culture of Preparedness | * | * | transparency and auditing capabilities on grant transactions in single system. | baseline to be established and reported. | establish unified requirements for transparent, auditable automated system for 100% of dhs grants programs. | tbd |
| 2011 | Build a Nimble, Effective Emergency Response System and a Culture of Preparedness | * | * | transparency and auditing capabilities on grant transactions in single system. | unified requirements for transparent, auditable automated system for 100% of dhs grants programs established. | 60% of previously identified reporting requirements met by ad-hoc or standardized reports. | tbd |

Table 1: Performance Information Table

| Fiscal Year | Strategic Goal(s) Supported | Measurement Area | Measurement Grouping | Measurement Indicator | Baseline | Target | Actual Results |
|-------------|---|------------------|----------------------|--|--|--|----------------|
| 2012 | Build a Nimble, Effective Emergency Response System and a Culture of Preparedness | * | * | transparency and auditing capabilities on grant transactions in single system. | 60% of previously identified reporting requirements met by ad-hoc or standardized reports. | 70% of previously identified reporting requirements met by ad-hoc or standardized reports. | tbd |
| 2013 | Build a Nimble, Effective Emergency Response System and a Culture of Preparedness | * | * | transparency and auditing capabilities on grant transactions in single system. | 70% of previously identified reporting requirements met by ad-hoc or standardized reports. | 80% of previously identified reporting requirements met by ad-hoc or standardized reports. | tbd |
| 2014 | Build a Nimble, Effective Emergency Response System and a Culture of Preparedness | * | * | transparency and auditing capabilities on grant transactions in single system. | 80% of previously identified reporting requirements met by ad-hoc or standardized reports. | 90% of previously identified reporting requirements met by ad-hoc or standardized reports. | tbd |
| 2015 | Build a Nimble, Effective Emergency Response System and a Culture of Preparedness | * | * | transparency and auditing capabilities on grant transactions in single system. | 90% of previously identified reporting requirements met by ad-hoc or standardized reports. | 95% of previously identified reporting requirements met by ad-hoc or standardized reports. | tbd |
| 2010 | Build a Nimble, Effective Emergency Response System and a Culture of Preparedness | * | * | percentage of grant awards available for reporting in single system. | baseline to be established and reported. | 40% of non-ia grant awards in gmie; 0% of ia grant awards. | tbd |
| 2011 | Build a Nimble, Effective Emergency Response System and a Culture of Preparedness | * | * | percentage of grant awards available for reporting in single system. | 40% of non-ia grant awards in gmie; 0% of ia grant awards. | 75% of non-ia grant awards in gmie; 0% of ia grant awards. | tbd |
| 2012 | Build a Nimble, Effective Emergency Response System and a Culture of Preparedness | * | * | percentage of grant awards available for reporting in single system. | 75% of non-ia grant awards in gmie; 0% of ia grant awards. | 85% of non-ia grant awards in gmie; 70% of ia grant awards. | tbd |
| 2013 | Build a Nimble, Effective Emergency Response System and a Culture of Preparedness | * | * | percentage of grant awards available for reporting in single system. | 85% of non-ia grant awards in gmie; 70% of ia grant awards. | 90% of non-ia grant awards in gmie; 85% of ia grant awards. | tbd |
| 2014 | Build a Nimble, Effective Emergency Response | * | * | percentage of grant awards available for reporting in | 90% of non-ia grant awards in gmie; 85% of ia grant awards. | 90% of non-ia grant awards in gmie; 90% of ia grant awards. | tbd |

Table 1: Performance Information Table

| Fiscal Year | Strategic Goal(s) Supported | Measurement Area | Measurement Grouping | Measurement Indicator | Baseline | Target | Actual Results |
|-------------|---|------------------|----------------------|---|---|---|----------------|
| | System and a Culture of Preparedness | | | single system. | | | |
| 2015 | Build a Nimble, Effective Emergency Response System and a Culture of Preparedness | * | * | percentage of grant awards available for reporting in single system. | 90% of non-ia grant awards in gmie; 90% of ia grant awards. | 90% of non-ia grant awards in gmie; 90% of ia grant awards. | tbd |
| 2010 | Build a Nimble, Effective Emergency Response System and a Culture of Preparedness | * | * | access for grant programs to a single homeland security grants management system. | dhs-wide system available to 0% of users. | establish plan for phased roll-out that includes target milestones for incorporation of all dhs grant programs. | tbd |
| 2011 | Build a Nimble, Effective Emergency Response System and a Culture of Preparedness | * | * | access for grant programs to a single homeland security grants management system. | establish plan for phased roll-out that includes target milestones for incorporation of all dhs grant programs. | dhs-wide grants system will be available to 50% of dhs grants programs | tbd |
| 2012 | Build a Nimble, Effective Emergency Response System and a Culture of Preparedness | * | * | access for grant programs to a single homeland security grants management system. | dhs-wide grants system will be available to 50% of dhs grants programs | dhs-wide grants system will be available to 70% of dhs grants programs. | tbd |
| 2013 | Build a Nimble, Effective Emergency Response System and a Culture of Preparedness | * | * | access for grant programs to a single homeland security grants management system. | dhs-wide grants system will be available to 70% of dhs grants programs | dhs-wide grants system will be available to 90% of dhs grants programs | tbd |
| 2014 | Build a Nimble, Effective Emergency Response System and a Culture of Preparedness | * | * | access for grant programs to a single homeland security grants management system. | dhs-wide grants system will be available to 90% of dhs grants programs | dhs-wide grants system will be available to 95% of dhs grants programs. | tbd |
| 2015 | Build a Nimble, Effective Emergency Response System and a Culture of Preparedness | * | * | access for grant programs to a single homeland security grants management system. | dhs-wide grants system will be available to 95% of dhs grants programs | dhs-wide grants system will be available to 95% of dhs grants programs | tbd |

Part II: Planning, Acquisition And Performance Information

Section A: Cost and Schedule Performance (All Capital Assets)

| 1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline | | | | | | | | |
|--|--------------------|-------------------|--------------------|-------------------|-------------------------|------------------------|--------------------------|-------------------------|
| Description of Milestones | Planned Cost (\$M) | Actual Cost (\$M) | Planned Start Date | Actual Start Date | Planned Completion Date | Actual Completion Date | Planned Percent Complete | Actual Percent Complete |
| Solution Engineering Review | * | * | 2011-02-15 | | 2011-02-28 | | 0.00% | 0.00% |
| Acquisition Decision Event 2A and 2B | \$3.1 | \$0.8 | 2010-04-01 | 2010-04-01 | 2011-06-15 | | 22.50% | 14.00% |
| Establish PMO and Receive ADE-1 Approval | \$1.8 | \$1.8 | 2009-06-26 | 2009-06-26 | 2010-03-31 | 2010-03-31 | 100.00% | 100.00% |
| Obtain Solution | * | * | 2011-10-03 | | 2014-09-30 | | 0.00% | 0.00% |
| Operations and Maintenance Support | * | * | 2011-10-03 | | 2024-09-30 | | 0.00% | 0.00% |
| PPR | * | * | 2010-06-16 | | 2011-09-30 | | 0.00% | 0.00% |

* - Indicates data is redacted.